

CALL FOR CAPITALISATION PROJECTS

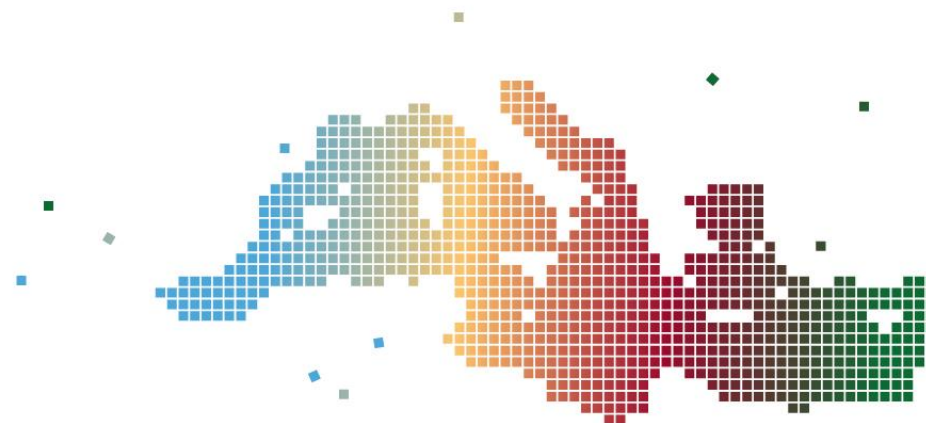
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MAKING RESULTS AND KNOWLEDGE AVAILABLE
ACROSS THE **MEDITERRANEAN** REGION



Call for capitalisation projects

Focus on the Guidelines for Grant Applicants



Main reference documents

- ❑ Call for proposals
- ❑ Guidelines for grant Applicants
- ❑ Terms of reference and annexes
- ❑ Note on personal data processing
- ❑ Model of the Grant Contract
- ❑ Indicative model of Partnership Agreement
- ❑ Note on State Aid and self assessment grid
- ❑ Note on eligible costs



Main features

- ❑ Open to **11** Programme's Priorities
- ❑ ENI funds allocated: **€ 11.000.000,00***
- ❑ Indicative EU contribution per priority: **€ 1.000.000,00**
- ❑ Partnership: Min. **5** different organisations from 5 different countries (at least 1 EUMC and 1 MPC)
- ❑ Project duration: **12, 18 OR 24** months

* The EU contribution might be increased to € 15.245.441,12, following the positive conclusion of the procedure to review the budget allocation started at Programme level



Priorities and financial allocation

TO 1 - Business and SMEs development

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
A.1.1 - Support innovative start-up and recently established enterprises	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.1.2 - Strengthen and support euro-Mediterranean networks, clusters, consortia and value-chains in traditional sectors and non-traditional sectors	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.1.3 - Encourage sustainable tourism initiatives and actions	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



Priorities and financial allocation

TO 2 - Support to education, research, technological development and innovation

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
A.2.1 - Support technological transfer and commercialisation of research results	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.2.2 - Support SMEs in accessing research and innovation, also through clustering	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



Priorities and financial allocation

TO 3 - Promotion of social inclusion and the fight against poverty

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
A.3.1: Provide young people, especially those belonging to the NEETS, and women, with marketable skills	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
A.3.2: Support social and solidarity economic actors	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



Priorities and financial allocation

TO 4 - Environmental protection, climate change adaptation and mitigation

Priorities (synthesis)	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
B.4.1: Water management - Support sustainable initiatives targeting innovative and technological solutions to increase water efficiency	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
B.4.2: Waste treatment and recycling - Reduce municipal waste generation, promote source-separated collection and its optimal exploitation	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
B.4.3: Renewable energy and energy efficiency - Support cost-effective and innovative energy rehabilitations relevant to building types and climatic zones, with a focus on public buildings	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11
B.4.4: Integrated Coastal Zone Management - Incorporate the Ecosystem-Based management approach to ICZM into local development planning	€ 1.000.000,00	€ 111.111,11	€ 1.111.111,11



Aim and Focus of capitalisation projects

➔ In the Joint Operational Programme

Capitalisation projects “*will promote the exploitation and/or widest dissemination of the successful practices and results of previously financed projects*”.

➔ In the Terms of Reference

Capitalisation projects are expected to capture the most promising short-term results (outputs) and long-term results (outcomes) of the projects and initiatives identified in the ToRs and contribute to one or more of the following objectives:

1. Transfer and exploitation of results
2. Reinforcement of networks
3. Strategic dissemination and awareness of public authorities and policy-makers



Admission requirements Chap. 4 GfA

- ➔ Eligible territories
- ➔ Financial dimension
- ➔ Specific requirements
- ➔ Eligibility of Applicant and Partners
- ➔ Eligibility of budget costs



Geographical eligibility (par. 4.1 GfA)

Participating countries

- **EUMCs:** Cyprus, France, Greece, Italy, Malta, Portugal, Spain.
- **MPCs:** Algeria*, Egypt, Jordan, Israel, Lebanon, Palestine, Tunisia.

General rules (par. 4.3.1):

- **Applicants and Partners must be located in the eligible territories of the participating countries ONLY (max. 3 from the same country)** - see table eligible and adjoining regions par.4.1 GfA
- Organisations established in adjoining regions **cannot** participate **as Applicants**, but only **as partners**. For the list of adjoining regions see table par.4.1 GfA. Financial limitations also apply (see below).

* Algeria must still sign the Financing Agreement with the EC. Check updates on the signature at: www.enicbcmec.eu



Geographical eligibility (par. 4.1 GfA): activities

General Rule

Project activities **must be implemented** in the eligible territories of the countries represented in the partnership

Exceptions for geographical activities implementation

- ❑ Only if partners coming from adjoining territories are involved, project activities **may** take place in these areas
- ❑ Activities outside the eligible **area allowed on a case by case basis** by considering their added value to be clearly justified in the Application Form.



Specific rules geographical eligibility (par. 4.3.1 GfA)

Participation of **International Organisations** is allowed



only if having operational offices in eligible area (do not count for min. and max. geographical criteria par. 4.4)

Participation of **Ministries and national PAs outside eligible territories** participating countries is allowed



if added value is demonstrated and activities are implemented through local offices if available



Specific rules geographical eligibility (par. 4.3.1 GfA)

Participation of organisations having **headquarters out of eligible area** but **decentralized registered office** or structure in the eligible regions is allowed



if established **at least 2 years before the deadline** for submission the Application Form

and

have proved capacity to undertake legal obligations and assume financial responsibility.

Applicants and partners based in Lisbon region



may apply only under the Overarching Objective 1



Legal status of Applicants and Partners (par. 4.3.2 GfA)

- ❑ **Public bodies** (national and local administrations, other public bodies)
- ❑ **Bodies governed by public law** as defined by art. 2(4) of Directive 2014/24/EU (in MPCs those obliged to follow national rules check with NCP)
- ❑ **Private Bodies** (enterprises, NGOs, associations and other no profit, etc.).

N.B private bodies shall be established at least **for 2 years** from the launching date of the call to participate.

- ❑ **International Organisations** with limitations set up by intergovernmental agreements and accepting specific programme obligations through a **specific declaration to be submitted.**



Participation out of the partnership (par. 4.3.2 GfA)

Entities not acting as Applicants and Partners:

- ❑ **Associates** → organisations involved in the project but **they cannot receive project funding** (travel and subsistence paid by the Applicant or PP) or participate in procurement procedures launched by the project (ex. as subcontractors). No geographical requirements but a specific form to be submitted for proving their involvement.
- ❑ **Subcontractors** → some activities can be outsourced by Applicant and Partners **but not the whole project implementation** (bulk of the project). Applicant and Partners **cannot be sub-contracted** by themselves.
- ❑ **Sub-grantees** → recipients of the sub-grants as **natural or legal persons** resident or established in participating countries applicant and partners (max. 60.000 each for a total of 30% project direct costs).



Participation Specific Requirements (par.4.4 GfA)

Conditions to be met

1. A proposal shall address only one (1) of the Priorities opened under this call for proposals even if there may be cross-over effects with other Priorities
2. The same organisation can participate **once as Applicant under each Thematic Objective**
3. No limitation for the participation as partner
4. Max. 2 grants (those highest ranked) financed to same Applicant

Example: the same university (considered as ONE entity regardless the independence of different departments) can submit **a maximum of 4 proposals** as Applicant (one per TO) but in the event all 4 proposals are selected, **only the best 2 will be financed.**



Projects Financial Dimension

- ❑ Minimum EU contribution → € 0.5 M
- ❑ Maximum contribution → € 1.0 M
- ❑ Maximum total project costs → € 1.1 M

BUT

Max EU contribution **90%** of the total eligible costs.

Min. co-financing **10%** of the total eligible costs

EXAMPLE:

TOTAL project costs	€ 800.000
ENI contribution:	€ 680.000 (85%)
Project Co-financing:	€ 120.000 (15%)



Specific Financial Requirements (par. 4.4.3 GfA)

At least 50% of the total direct costs shall be dedicated to activities to be implemented in MPCs territories either through



- a) allocating **at least 50%** of the budgeted direct costs to **MPCs Partners**
- or
- b) allocating less than 50% of direct costs to MPCs partners but the EU partners shall justify the difference to reach the said 50% by using their direct costs to implement activities in MPCs

EXAMPLE

TOTAL direct project costs = € 800.000

- a) At least € 400.000 (50%) directly allocated to MPCs
- b) € 240.000 (30%) allocated to MPCs and € 160.000 (20%) managed by EU PPs to implement activities in MPCs (**neither travel nor staff costs allowed!**).



Specific Financial Requirements (par. 4.4.3 GfA)

- ❑ A maximum of **35%** of the total direct costs can be allocated to a **single organization**
- ❑ The budget dedicated to **Cost Category 1 “Human Resources”** cannot be higher than 40% of the total direct costs
- ❑ The amount of financial resources devoted to the participation of partners from **adjoining regions** shall not exceed **20%** of the total direct budgeted costs of a project



Ineligible Proposals (par. 4.6 GfA)

Project proposals concerning only or mainly the following activities are ineligible



individual sponsorships for participation in workshops, seminars, conferences, congresses; individual scholarships for studies or training courses etc.



Exclusion situations (par. 4.7 GfA)

Applicants and PPs organisations will be excluded from the call for proposals or from the grant if:

they are bankrupt, subject to insolvency or winding-up procedures, fraud, corruption, conflict of interest, etc. (see exclusion situations under EU Financial Regulation No 1046/2018, article 136 and article 141)

Specific cases: failure to reimburse sums to the MA and/or EC; attempts to obtain confidential information or to influence the selection proces



Mandatory documents to be submitted

Uploaded through the eAF:

- ❑ Declaration by the Applicant
- ❑ Partner Statement to be provided by each partner (regardless their legal status)
- ❑ International Organisation declaration (as the case may be)
- ❑ Associated partner declaration (as the case may be)
- ❑ Administrative costs justification (one file including info of the whole partnership)
- ❑ State aid self assessment check grid (one file merging the single files of the partners for which the state aid info is needed)

